



**DECLARATION FOR UTILITY OR
DESIGN
PATENT APPLICATION
(37 CFR 1.63)**

☐ Declaration Submitted with Initial Filing
OR
☒ Declaration Submitted after Initial Filing (surcharge (37 CFR 1.16 (e)) required)

Attorney Docket Number 06293P3 USA

First Named Inventor Peng Zhang, et al.

COMPLETE IF KNOWN

Application Number 10/804,513

Filing Date 19 March 2004^d

Group Art Unit 1751

Examiner Name

As a below named inventor, I hereby declare that:

My residence, mailing address, and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

PROCESS SOLUTIONS CONTAINING SURFACTANTS

(Title of the Invention)

the specification of which

☐ is attached hereto

OR

☒ was filed on (MM/DD/YYYY) 19 March 2004 as United States Application Number or PCT International

Application Number 10/804,513 and was amended on (MM/DD/YYYY) (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application.

I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or (f), or 365(b) of any foreign application(s) for patent, inventor's or plant breeder's rights certificate(s), or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent, inventor's or plant breeder's rights certificate(s), or any PCT international application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Not Claimed	Certified Copy Attached?	
				YES	NO
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

☐ Additional foreign application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto:

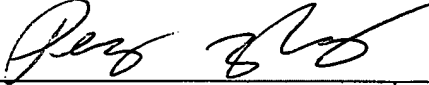
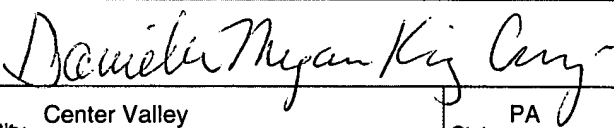
[Page 1 of 2]

Please type a plus sign (+) inside this box → ☐

PTO/SB/01 (10-00)
Approved for use through 10/31/2002. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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DECLARATION — Utility or Design Patent Application

Direct all correspondence to: <input checked="" type="checkbox"/> Customer Number or Bar Code Label		23543		OR <input type="checkbox"/> Correspondence address below	
Name					
Address					
Address					
City		State		ZIP	
Country		Telephone		Fax	
<p>I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.</p>					
NAME OF SOLE OR FIRST INVENTOR :			<input type="checkbox"/> A petition has been filed for this unsigned inventor		
Given Name (first and middle [if any]) Peng			Family Name or Surname Zhang		
Inventor's Signature 			Date April 29, 2004		
Residence: City Quakertown		PA State	USA Country	China P.R. Citizenship	
Mailing Address 1344 Grey Fox Circle					
Mailing Address					
City Quakertown		PA State		18951 ZIP	USA Country
NAME OF SECOND INVENTOR:			<input type="checkbox"/> A petition has been filed for this unsigned inventor		
Given Name (first and middle [if any]) Danielle Megan King			Family Name or Surname Curzi		
Inventor's Signature 			Date April 5, 2004		
Residence: City Center Valley		PA State	USA Country	USA Citizenship	
Mailing Address 4046 Cluhouse Court					
Mailing Address					
City Center Valley		PA State		18034 ZIP	USA Country
<input checked="" type="checkbox"/> Additional inventors are being named on the <u>1</u> supplemental Additional Inventor(s) sheet(s) PTO/SB/02A attached hereto.					



Please type a plus sign (+) inside this box →



PTO/SB/02A (11-00)

Approved for use through 10/31/2002. OMB 0651-0032

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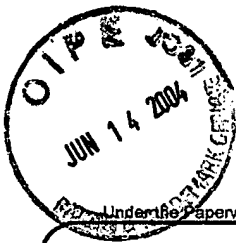
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DECLARATION

ADDITIONAL INVENTOR(S)
Supplemental Sheet
Page 1 of 1

Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle [if any])		Family Name or Surname	
Eugene Joseph		Karwacki (Jr.)	
Inventor's Signature <i>Eugene Joseph Karwacki Jr.</i>		Date <i>APR 15, 2004</i>	
Residence: City	Orefield	PA State	USA Country
Mailing Address 5620 Snowdrift Road			
Mailing Address			
City	Orefield	PA State	18069 ZIP
		USA Country	
Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle [if any])		Family Name or Surname	
Leslie Cox		Barber	
Inventor's Signature <i>Leslie Cox Barber</i>		Date <i>3/23/04</i>	
Residence: City	Cave Creek	AZ State	USA Country
Mailing Address 5418 East Thunder Hawk Road			
Mailing Address			
City	Cave Creek	AZ State	85331 ZIP
		USA Country	
Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle [if any])		Family Name or Surname	
Inventor's Signature		Date	
Residence: City		State	Country
Mailing Address			
Mailing Address			
City		State	ZIP
		Country	

Burden Hour Statement: This form is estimated to take 21 minutes to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



PTO/SB/81 (06-03)

Approved for use through 11/30/2005, OMB 0651-0035

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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**POWER OF ATTORNEY
and
CORRESPONDENCE ADDRESS
INDICATION FORM**

Application Number	10/804,513
Filing Date	19 March 2004
First Named Inventor	Peng Zhang, et al.
Title	Process Solutions Containing Surfactants
Art Unit	1751
Examiner Name	
Attorney Docket Number	06293P3 USA

I hereby appoint:

☒ Practitioners at Customer Number:

23543

OR

☐ Practitioner(s) named below:

Name	Registration Number

as my/our attorney(s) or agent(s) to prosecute the application identified above, and to transact all business in the United States Patent and Trademark Office connected therewith.

Please recognize or change the correspondence address for the above-identified application to:

☐ The above-mentioned Customer Number:

OR

☐ The address associated with Customer Number:

OR

<input type="checkbox"/> Firm or Individual Name			
Address			
Address			
City	State	Zip	
Country			
Telephone	Fax		

I am the:

☐ Applicant/Inventor.☒ Assignee of record of the entire interest. See 37 CFR 3.71.
Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96)**SIGNATURE of Applicant or Assignee of Record**

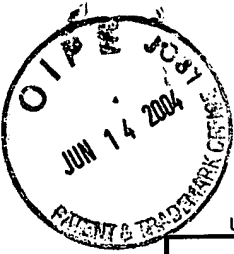
Name	Mark L. Rodgers		
Signature			
Date	9 June 2004	Telephone	610481-8817

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below*.

☒ *Total of 1 forms are submitted.

This collection of information is required by 37 CFR 1.31 and 1.33. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 3 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



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STATEMENT UNDER 37 CFR 3.73(b)

Applicant/Patent Owner: Peng Zhang, et al.

Application No./Patent No.: 10/804,513 Filed/Issue Date: 19 March 2004

Entitled: Process Solutions Containing Surfactants

Air Products and Chemicals, Inc., a corporation
(Name of Assignee) (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest.
The extent (by percentage) of its ownership interest is _____ %
in the patent application/patent identified above by virtue of either:

A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

OR

B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.
2. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.
3. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

☐ Copies of assignments or other documents in the chain of title are attached.

[NOTE: A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

9 June 2004
Date
610-481-8817
Telephone number

Mark L. Rodgers
Typed or printed name
[Signature]
Signature

Assistant General Counsel, Patents
Title

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

ASSIGNMENT

For value received, we, Peng Zhang, Danielle Megan King Curzi, Eugene Joseph Karwacki, Jr., and Leslie Cox Barber, hereby sell, assign, and transfer to **AIR PRODUCTS AND CHEMICALS, INC.**, a corporation of the State of Delaware, having an office at 7201 Hamilton Boulevard, Allentown, PA 18195-1501, the entire right, title, and interest in and to the invention relating to

PROCESS SOLUTIONS CONTAINING SURFACTANTS

described in our application for Letters Patent of the United States (identified by Docket No. 06293P3 USA) executed of even date herewith, in and to all Letters Patent which may be issued upon said application, in and to any divisional or reissue applications based thereon, and in and to all Letters Patent upon said invention or improvements which may be granted in foreign countries. We hereby also assign and convey to said **AIR PRODUCTS AND CHEMICALS, INC.**, all the rights accruing to us by virtue of the International Convention for the Protection of Industrial Property (Art. 4), including the right to apply for and to have patents issued in its own name.

And we hereby authorize and request the Commissioner of Patents and Trademarks of the United States and the Officials of the Patent Offices of all other countries to issue the Letters Patent of their respective countries to said **AIR PRODUCTS AND CHEMICALS, INC.**, as assignee of the entire right, title, and interest in and to the same.

And we hereby promise and agree to execute all papers and perform all acts necessary to secure to and vest in said **AIR PRODUCTS AND CHEMICALS, INC.**, its successors and assigns, the rights conveyed as herein set forth.


_____(L.S.)
Peng Zhang

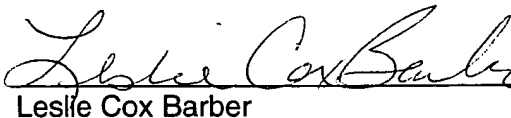
April 29, 2004
Date


_____(L.S.)
Danielle Megan King Curzi

April 5, 2004
Date


_____(L.S.)
Eugene Joseph Karwacki, Jr.

April 5, 2004
Date


_____(L.S.)
Leslie Cox Barber

March 23, 2004
Date